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FACSIMILE COVER SHEET

Deliver to: Rao, Shrinivas H., USPTO	Art Group:2814
Facsimile No.: 1-571-273-1718	Date: May 6, 2004
From: Gregory D. Caldwell, Reg. No.	39,926
Our Docket No.: 42390P11557X	Number of pages _13_including this sheet.
Application No.: 09/960,125	Filing Date: 9/21/2001
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☑ Amendment: Supplemental (_9_pgs)	☐ Issue Fee Transmittal
☐ Appeal Brief (in triplicate) (pgs)	☐ Notice of Appeal
Application:	☐ Petition for:
(pgs) w/cover & abstract)	☐ Request for Continued Examination (RCE)
Assignment & Cover Sheet (pgs)	☐ Reply Brief (pgs)
☑ Certificate of Eacsimile.	☐ Request & Certification Under 35 USC 122(b)(2)(B)(I)
☐ Continued Prosecution Application (CPA)	☐ Request to Rescind Previous Nonpublication Request
☐ Declaration & POA (pgs)	Response to Notice of Missing Parts & Formalities Letter
☐ Drawings:sheets, figures	Response to Written Opinion (pgs)
Extension of Time:	☐ Terminal Disclaimer
▼ Fee Transmittal (in duplicate)	☐ Transmittal of Publication Fee Due
(pgs) w/cover & abstract) □ Assignment & Cover Sheet (pgs) □ Certificate of Facsimile □ Continued Prosecution Application (CPA) □ Declaration & POA (pgs) □ Drawings:sheets, figures □ Extension of Time: □ Fee Transmittal (in duplicate) □ IDS & PTO/SB/08 (pgs)	▼ Transmittal Letter
Other	
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CERTIFICATE OF MAIL I	NG/TRANSMISSION (37 CFR 1.8A)
	tted by facsimile on the date shown below to the United States
Patent and Trademark Office.	
da	05/6/2004
Derek S. Watson	Date

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		Application No.	09/960),125	
TRANSMITTAL FORM (to be used for all correspondence after InItIal filing)			Filing Date	Septen	nber 21, 2001
			First Named Inventor	Jian Li	i
			Art Unit	2814	
			Examiner Name	Rao, S	hrinivas H.
Total Number of Pa	iges in This Submissi	on	Attorney Docket Number	42390	P11557X
	SURES (chec	k all that apply)	.,		
Fee Transmittal Fe	Fee Transmittal Form Drawlng(s)				After Allowance Communication of Group
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Amendment / Res	вропѕе	Petition			Appeal Communication to Group Appeal Notice, Brief, Reply Brief)
After Final Affidavits/d	eclaration(s)	Petition to Provisional	Convert a Application	F	Proprietary Information
Extension of Time	e Request	Power of A Change of	ttorney, Revocation Correspondence Address	1	Status Letter
Express Abandonment Request			Nsclaimer		Other Enclosure(s) please identify below):
information Disclosure Statement Request fo			r Refund		
PTO/SB/08	3	CD, Numbe	er of CD(s)	11	
Certified Copy of Priority Document(s)					
Response to Miss Incomplete Applic	sing Parts/ cation	Remarks			
Basic Filing Fee					
Declaration/POA					
Response 1 Parts under 1.52 or 1.55	to Missing r 37 CFR 3				
	SIGNATUR	E OF APPLICA	NT, ATTORNEY, OR A	GENT	
Firm or	dwell, Reg. N	o. 39,926			
Individual name BLAKELY, SOKOLOFF,			TAYLOR & ZAFN	AAN L	LP
Signature					
Date	May 6, 2004				
	CERTIF	ICATE OF MAI	LING/TRANSMISSION		
I hereby certify that this Trademark Office.	сопеspondence is be	eing transmitted vi	ia facsimile on the date sh	own belov	w to the United States Patent and
Typed or printed nar	me Derek S. Wa	tson			
Signature	de			Date	May 6, 2004

Based on PTO/SB/21 (02-04) as modified by Blakely, Sciokoff, Taylor & Zafman (wtr) 02/10/2004. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

CEE TRANSMITTAL						Complete if Known								
FEE TRANSMITTAL			Ar	Application Number 09/960,125										
for FY 2004			Fi	ing Date	3		Septe	ember 21, 2001						
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(ASILIA)	(*************************************	μα	Greg	ory D. Cald	IWEII		(A	ttorney/Ag	ent)		39,926	relebunine	(303) 00	T-0200
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for FY 2004	ŀ	Filing Date				September 21, 2001			
Effective 01/01/2004. Patent fees are subject to annual revision.	ŀ	First Na	amed I	nven	tor	Jian Li			
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Applicant claims small entity status. See 37 CFR 1.27.		Art Unit				2814			
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Name (Print/Type) Gregory D. Caldwell	امر	Registr (Attorney		VO.	3	39,926	Telephone	(503) 68	4-6200
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Signature							Date	03/0	· · / · · · ·
Based on PTO/SB/17 (10-03) as modified by Blakely, Solokoff, Taylor of Zafman (wit) 0. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450	2/10/200	04.							

PATENT APPLICATION 042390.P11557X

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

n re application of:)	May 6, 2004
ii to application)	
Li et al.	:)	
Serial No.: 09/960,125)	Group Art Unit: 2814
Filed: September 21, 2001)	Examiner: S. Rao

For: STACKED FERROELECTRIC MEMORY DEVICE AND METHOD OF MAKING SAME

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Thereby certify that this correspondence is being facsimile transmitted to the United States Patent and Tradament Office at:

Facsimila Number

S. O. O. C. G. O. C. Signature

Signature

Date

SUPPLEMENTAL AMENDMENT

HONORABLE DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE,

Washington, D.C. 20231

Dear Sir:

Please reconsider the above-identified patent application in view of the amendments and remarks below.